

Opening access to private market investments that target non-correlated competitive returns.

## OUR PRIVATE MARKET PHILOSOPHY: Private Investment Diligence

Many of our clients own private assets. Private investments serve to diversify risk from public markets, while providing non-correlated and competitive returns. We work with our clients to understand their private assets, all which have uniquely idiosyncratic characteristics from private businesses or private funds to private land deals. When requested, we provide due diligence, oversight and advisement.

Through our deep financial networks, we have access to numerous private investment opportunities. These private investments can be direct deals or structured through trusted partnerships. We work closely with our clients to understand their objectives to determine if private investments fit with their long-term financial goals and values.

We take pride in the level of due diligence and service we bring to all our clients' private holdings. Based on our long track record in the private investment space, we bring a deep bench of knowledge to the table.

As with all our investments, we integrate Environment, Social and Governance (ESG) criteria into our diligence. This allows us to review private partnerships, funds and companies for informed practices that exhibit operational excellence, capitalize on growth opportunities, mitigate risks and align with stakeholder interests. Typical private market due diligence includes:

- Market Analysis
- Comparative Risk & Reward
- Strategy and Process
- Track Record
- Team
- Fund Structure / Terms
- Legal Review

We have assisted clients in a variety of private investment advisory needs, and offer expertise across a wide array of investment types:

- Private Equity
- Venture
- Real Estate
- Timber
- Agriculture
- Private Debt
- Promissory Notes
- Community Investment Notes
- Hedge Funds

Many clients have a deep desire to examine their impact in the world. For decades we have enjoyed working with these individuals and families to successfully integrate impact investing into their portfolios.

# IMPACT INVESTING:

## Our Impact Investment Philosophy: Private Investments Designed to Unlock Environmental or Social Solutions

Across the world there is increasing interest in Impact Investing. There are many different definitions for what “impact” really means. We regard impact investing as directing private investments where its intention is to generate beneficial social or environmental impact -- along with financial returns. While some consider impact investing across all asset classes and strategies, we generally equate impact investing with direct, private investments where debt or equity capital can catalyze progress. We marry our belief that great businesses are run by great people, with finding solutions based investments benefiting social or environmental outcomes.

# IMPACT INVESTING GLOBAL DRIVERS:

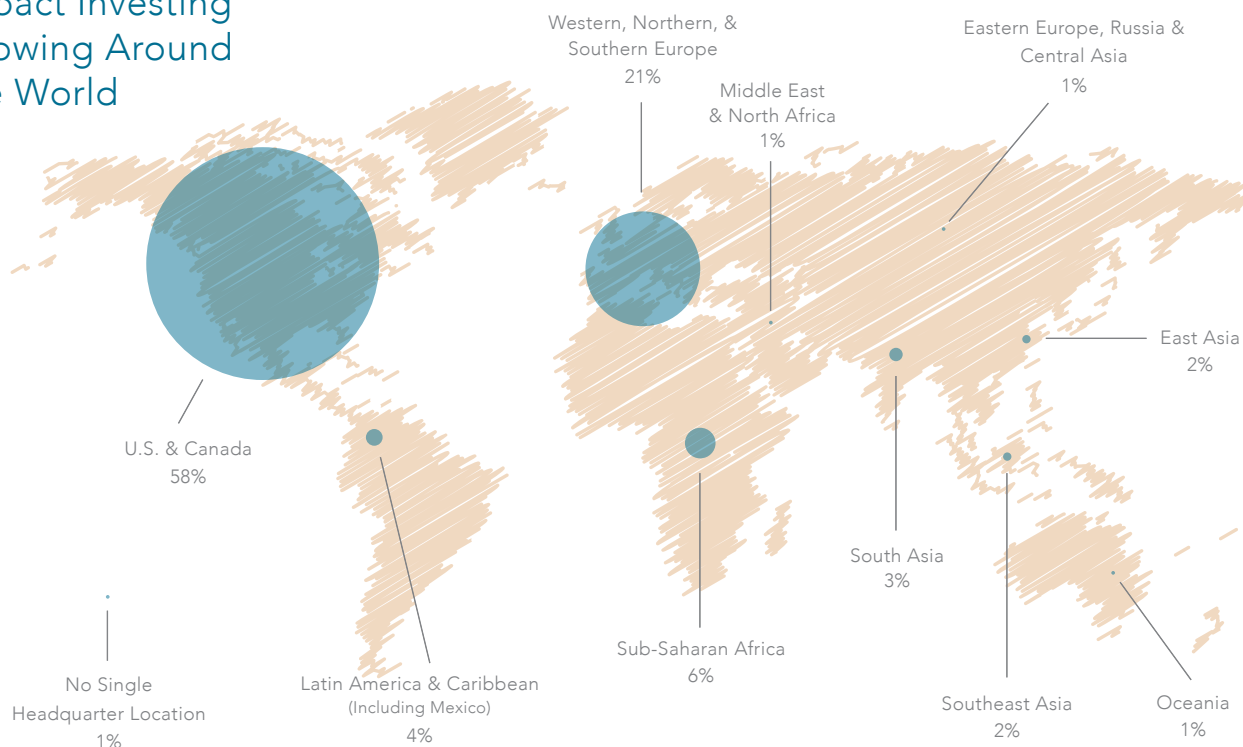
A Growing Demand: Enterprises that look further ahead and embrace social and environmental constraints and opportunities will, in the long run, outperform those that favor the status quo.

No matter how you define Impact Investing, there is wide agreement that certain global drivers are propelling it into the spotlight – population growth, competition for resources and climate change:

- Natural Resource Constraints
- Ecosystem Loss
- Waste Reduction
- Global Carbon Reduction
- Energy Demand
- Civic and Corporate Sustainability
- Social Injustices
- Financial and Educational Access Gaps

## Impact Investing Growing Around the World

Source: GIIN



# OUR STRATEGIC PARTNERSHIP:

## Teaming Up with the Leading Private Impact Investment Firm



To meet the demand for private market investments, we form strategic partnerships with leading managers. One example is our partnership with New Summit Investments, a leading private impact investment firm. New Summit's mission is to efficiently open access and channel capital to investments that target positive social and environmental outcomes while seeking competitive financial returns. We stand behind their strong belief that an increasingly connected and interdependent world will reward enterprises that focus on building a just economy in balance with natural systems.


New Summit Investments has three impact themes, each of which are underpinned by UN Sustainable Development Goals (SDGs): Green Innovation, Economic Empowerment, and Conscious Commerce.

### GREEN INNOVATION

 Energy Efficiency

 Green Building

 Renewable Energy

 Stewardship of Natural Capital

 Sustainable Mobility

### ECONOMIC EMPOWERMENT

 Gender Equality

 Access to Information

 Responsible Finance

### CONSCIOUS COMMERCE

 Food & Human Health

 Sharing Economy

Started as a 50/50 partnership between SEC registered investment advisors Baldwin Brothers and Arjuna Capital, New Summit Investments manages two leading private impact investment funds:

### Impact Through Private Short Term Fixed Income



The Income and Impact Fund is a high impact private short term debt fund holding a diversified portfolio of private investment notes and debt funds that pay competitive yields, while capitalizing entities that have targeted, direct and positive impact. The Fund deploys capital primarily through debt instruments across an array of specific social-impact opportunities including, but not limited, to microfinance lending, fair trade, sustainable agriculture and land conservation, environmental sustainability, affordable housing, peer-to-peer lending, rural economic development and medical care in developing countries.

### Impact Through Private Equity and Real Assets



The New Summit Impact Fund is a high impact private equity and real asset fund holding a diversified portfolio of top-tier impact managers that target both high impact and return. The fund strategy is to create a diversified portfolio of private funds and investments targeting profitable, solution-oriented enterprises with measurable environmental and societal impact. Underlying strategies include Venture Capital, Private Equity, Real Assets and High Yield Private Credit.



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## WE INVITE YOU TO START YOUR EXPERIENCE TODAY

**Stop by and see us:** 204 Spring Street, Marion, MA 02738 | **Email:** [info@baldwinbrothersinc.com](mailto:info@baldwinbrothersinc.com)

*Additional information, including management fees and expenses, is provided on our Form ADV Part 2, available upon request or at the SEC's public disclosure website, [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Past performance is not a guarantee of future results.*

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